


FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. <b>041-1894C</b>	SERIAL NO. <b>09/779,838</b>
 LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		APPLICANT <b>Toshiki KINDO</b>	
		FILING DATE <b>02/09/2001</b>	GROUP ART UNIT <b>2121</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
<i>WBA</i>	1.	5,819,259	10-1998	Duke-Moran et al.	707	3	
<i>WBA</i>	2.	5,297,042	03-1994	Morita	707	5	
<i>WBA</i>	3.	5,276,772	01-1994	Wang et al.	706	20	
<i>WBA</i>	4.	5,280,573	01-1994	Kuga et al.	715	708	
<i>WBA</i>	5.	6,647,378	11-2003	Kindo	706	21	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
							YES	NO	PART.
<i>WBA</i>	6.	JP 06243173 A	09-1994	Japan			X		

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>WBA</i>	7.	"Filtered Information and Intelligent Agent Software" by M. Ojala. Business Information Alert, Vol. 8, No. 6, c. 1995
<i>WBA</i>	8.	"A Machine Learning Approach to Document Retrieval; An Overview and an Experiment" by H. Chen. Proceedings of the 27 <sup>th</sup> Annual Hawaii Int'l. Conference on System Sciences, Vol. 3, pgs. 631-640; c. 1994
<i>WBA</i>	9.	"Information Extraction from Electronic Mail" by A. Kawal et al, Information Processing Society Technical Report, page. 57-64 (with English translation of relevant portions), c. 1994
<i>WBA</i>	10.	"A Method for Retrieval of a Target Still Image By Selecting Similar and Dissimilar Images" by T. Nomura, et al. Information Processing Society of Japan, No. 4, pgs. 205-206, c. 1992
<i>WBA</i>	11.	Huang et al; A Fast Algorithm for Large Vocabulary Keyword Spotting Application; IEEE Transactions on Speech and Audio Processing; Vol. 2, Iss. 3; July 1994; pp 449-452

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.